

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joseph Lerner et al.	Docket No.: 28961.011300
Serial No.: 09/726,793	Art Unit: 2164
Filing Date: December 1, 2000	Examiner: Unassigned
Title: Electronic Purchase and Sale of Securities System and Method	

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

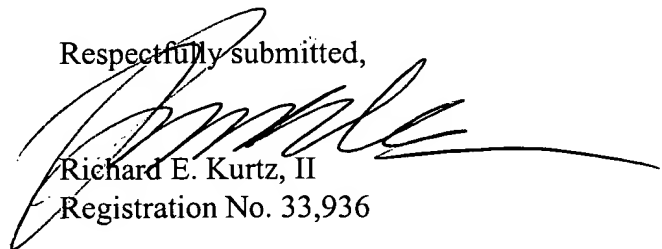
In response to the Notice to File Missing Parts mailed January 17, 2001, the applicant encloses:

1. Copy of Notice to File Missing Parts
2. Declaration and Power of Attorney
3. Check in the amount of \$420.00 (\$355.00 filing fee; \$65 surcharge)

In the event applicant(s) has overlooked the need for any petition and fee for extension of time, and such extension is required applicant(s) requests that this be considered a petition therefore and that such fee be charged to Deposit Account No. 50-0653.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16, 1.17, 1.20 (a) and 1.20 (d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment, to Deposit Account No. 50-0653.

Respectfully submitted,

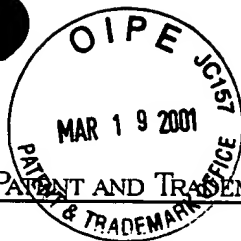


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Filed: 3/13/01

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES POSTAL
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COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231,
ON March 13, 2001
BY Sandra Farney



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/726,793	12/01/2000	Joseph Lerner	28961.011300

CONFIRMATION NO. 5692

FORMALITIES LETTER



OC000000005682584

Greenberg Traurig
1750 Tysons Boulevard, 12th Floor
McLean, VA 22102

Date Mailed: 01/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

Zenebech

Customer Service Center

Initial Patent Examination Division (703) 308-1202

03/20/2001 GDEBELA1 00000017 09726793 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201
02 FC:205

355.00 OP
65.00 OP